



Ivan Barretto  
Managing Director  
RIA Compliance Concepts, LLC  
2006 Bagdad Rd. Suite 120  
Leander, TX 78641  
Phone: 1-833-RIACCIO  
Email: [info@riacc.io](mailto:info@riacc.io)  
Website: [www.riacc.io](http://www.riacc.io)

Ivan Barretto is the Founder and Managing Director of RIA Compliance Concepts. Founded in 2017, RIA Compliance Concepts is a boutique consulting firm offering affordable solutions to state and SEC-registered investment advisory firms. Prior to starting RIA Compliance Concepts, Ivan was a Financial Examiner with the Texas State Securities Board Inspection and Compliance Division from 2006 – 2013 and worked as a compliance consultant from 2013 – 2016. He holds a Bachelor of Business Administration in Management degree from Texas Tech University and Master of Public Administration degree from the College of Charleston.