

# FPA OF SOUTHWESTERN OHIO FALL SYMPOSIUM

FRIDAY, SEPTEMBER 29, 2017

## AGENDA

Time	Topic	Description	Speakers
<b>8:00am - 9:00am</b>		<b>Registration &amp; Networking</b>	
9:00am - 9:10am		Announcements & Opening Remarks	
9:10am - 10:10am	FPA	OneFPA Initiative and Updates from FPA President & CEO	Lauren Schadle & Shannon Pike
<b>10:10am - 11:00am</b>		<b>Breakout Sessions</b>	
	So You're A Retirement Plan Fiduciary... Now What?	This session defines the fiduciary and discusses "The Big 5" (basic fiduciary duties). It explains how to plan governance and limit liability but also what correction programs to take when mistakes happen and how to take action.	Tina Anstett
	Your Retirement – Your Way Succession Planning Concepts to Preserve the Value of Your Practice	Learn the importance of succession planning, valuation methods, how to know what you are purchasing, valuing the practice & structuring the offer, and much more to ensure a smooth transition	Rob Blevins
	Cyber Security – Where Do You Start?	Many people are aware that Cyber Security is important but don't know where to begin implementing it. Learn basic information about security, the latest threats, why different people are attacked, focus areas for incident response and where to start.	Bill Kelly
<b>11:10am – 12:15pm</b>		<b>Mid-Morning Session</b>	
	Exploring Fiduciary Issues	Fiduciary law is not uniform. Different legal standards may apply to fiduciary financial advisors as they engage in investment strategy selection, investment product selection, evaluating the benefits and costs of investment and insurance products, and in establishing their own fees. Integrating concepts from fiduciary common law, statutes and regulations, as well as from academic research into investment strategies and due diligence, Dr. Rhoades provides practical guidance on these issues for financial advisers.	Dr. Ron Rhoades
<b>12:15pm - 1:00pm</b>		<b>Lunch</b>	

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1:00pm – 2:00pm	Afternoon Session		
	Due Diligence for IRA Rollovers Under the Lens of Regulators	The SEC, state securities administrators, and other regulators have paid increased attention in the past few years at investment advisers' determinations of whether a rollover of a client's defined contribution plan account into an advised-upon IRA account is in the client's best interests. Dr. Ron Rhoades provides practical considerations for advisors relating to data gathering, a checklist of financial planning and tax considerations that may be considered in connection with the rollover, and the methods to demonstrate the adviser's value proposition.	Dr. Ron Rhoades
2:10pm - 3:00pm	Mid-Afternoon Breakout Sessions		
	The 411 on Ohio's 529 College Savings Plan	Learn about Ohio's 529 College Savings Plan, CollegeAdvantage and how it works. Learn how you as a financial planner can help your clients be better prepared for their children's future college education. Find out what new changes are on the horizon for CollegeAdvantage.	Tim Gorrell
	Primary Uses of Deferred Compensation	This course explains the primary uses of the deferred compensation plans. It will first walk through the benefits of having a deferred compensation plan, its basic structure and important design considerations. Then, it will discuss the four primary uses of a deferred compensation plan - 401(k) restoration and retirement savings, taxation timing, compensation management and other planning opportunities. There will be examples to help with the understanding of the plan benefits and determining if a nonqualified deferred compensation plan is a right plan to consider.	Jack Leavy
3:10pm - 4:00pm	Mid-Afternoon Session		
	Year End Planning for 2017	Focusing on ways to best position high net worth individuals and families to take advantage of year-end financial and estate planning opportunities, this presentation provides valuable information involving taxes, investments, retirement planning and other critical areas that help participants to maximize their available options at year-end. Example topics covered include when to accelerate or defer tax deductions, taking advantage of tax-favorable charitable giving opportunities, planning for the AMT, Roth IRA conversions, and timing of 529 College Savings distributions.	John Nersesian
4:00pm	Adjourn		